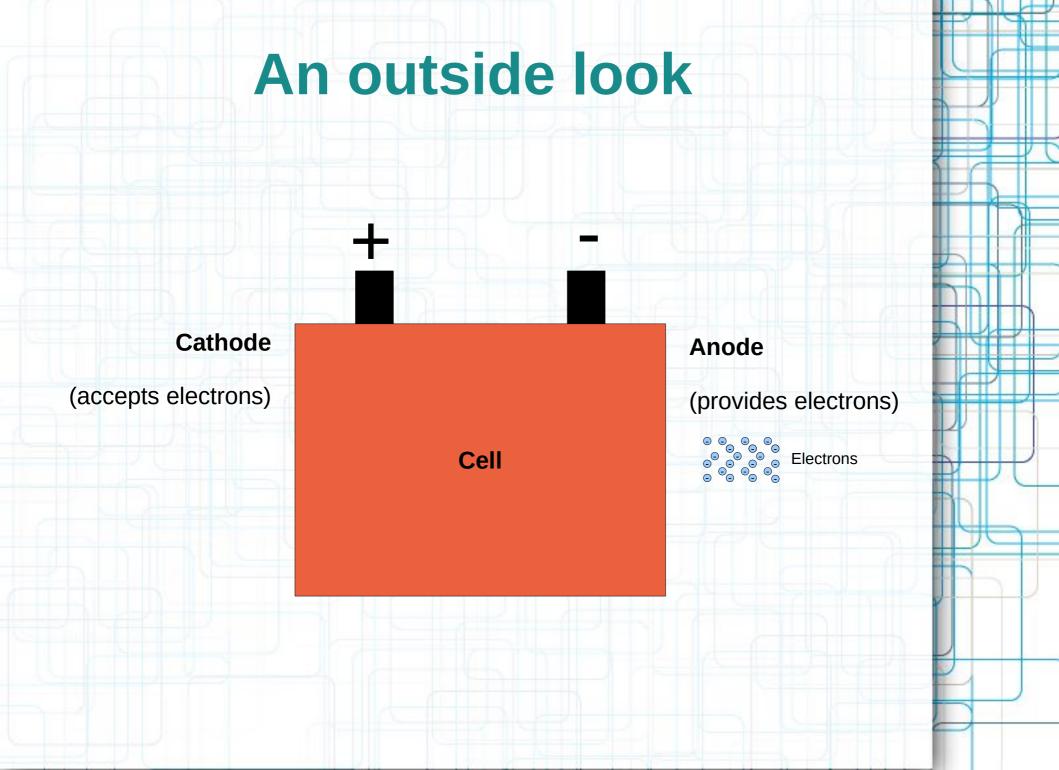
Lithium Polymer Battery Technology

An introduction

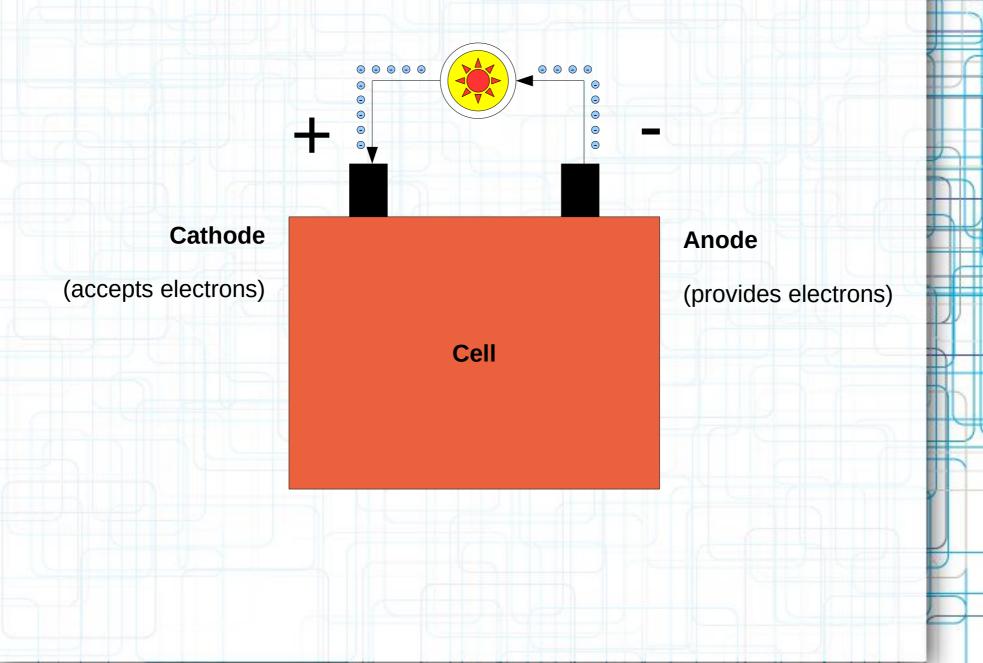
with special consideration of RC model lithium batteries

Dipl.-Ing. Frank Siegert

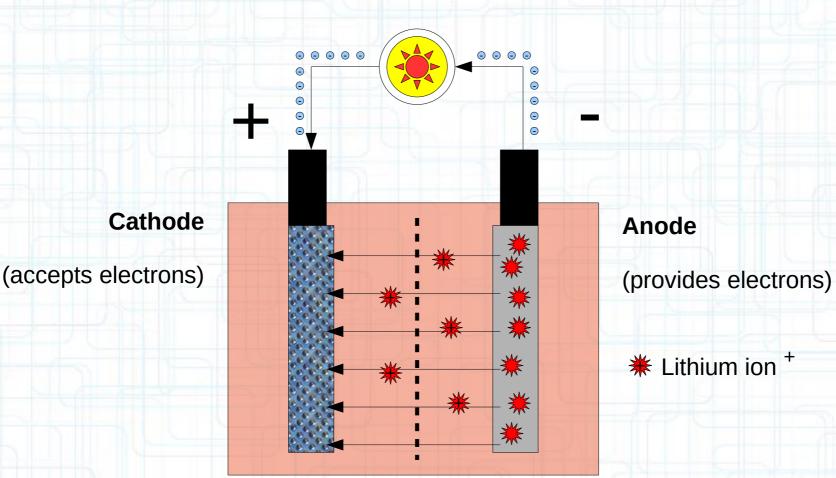
tl;dr: Summary on page 78



Discharge – from the outside



Discharge – the inside



Electrons flow on the outside Li⁺-lons flow in the cell

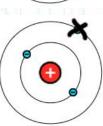
For electrons the cell is non-conducting

Lithium

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Quelle: Wikipedia: PSE, Julien Kluge, Hochwertiges Periodensystem der Elemente.

Lithium, 3 protons, 3 electrons



•

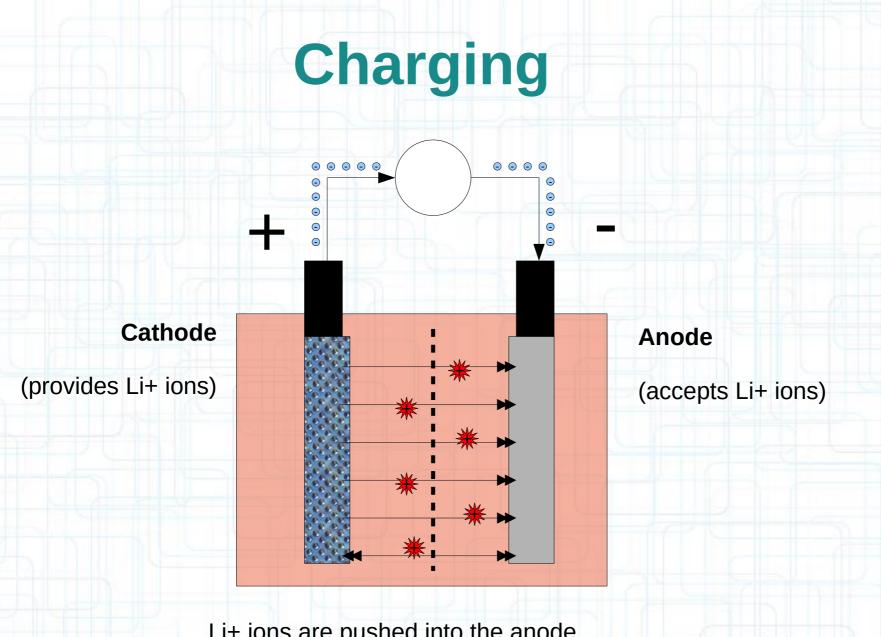
Lithium ion, 3 protons, 2 electrons, appears therefore positively charged

Newly formed Cell

Cathode

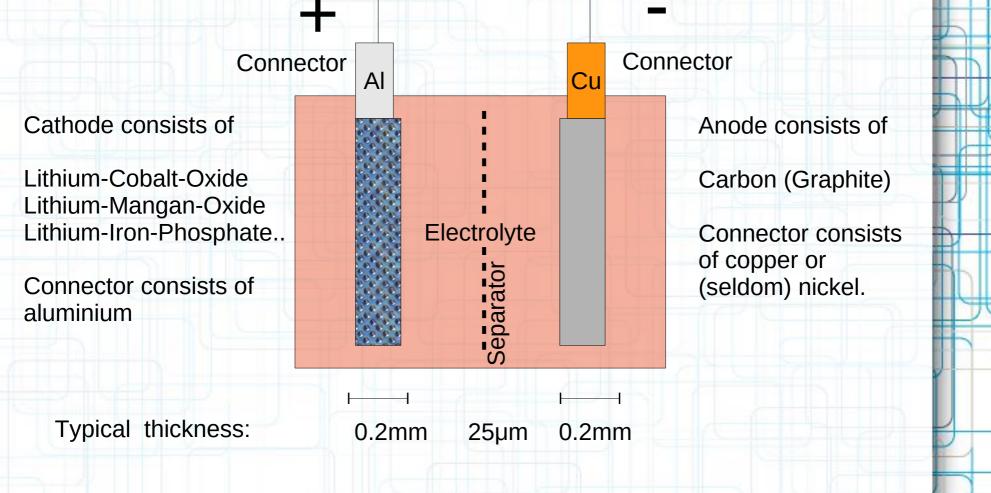
Anode

The cell tries to reach the lowest energy state. This point is reached when the cathode is fully filled with Lithium. It defines the fully discharged state.



Li+ ions are pushed into the anode.

Cell construction



Cell construction

Fun fact: At 1A current each second

Li⁺ ions flow through the cell.

(Yes, there is actually stuff moving!)

Cell voltage source

Cathode

Anode

Voltage

Li vs. Li^{+ [v]}

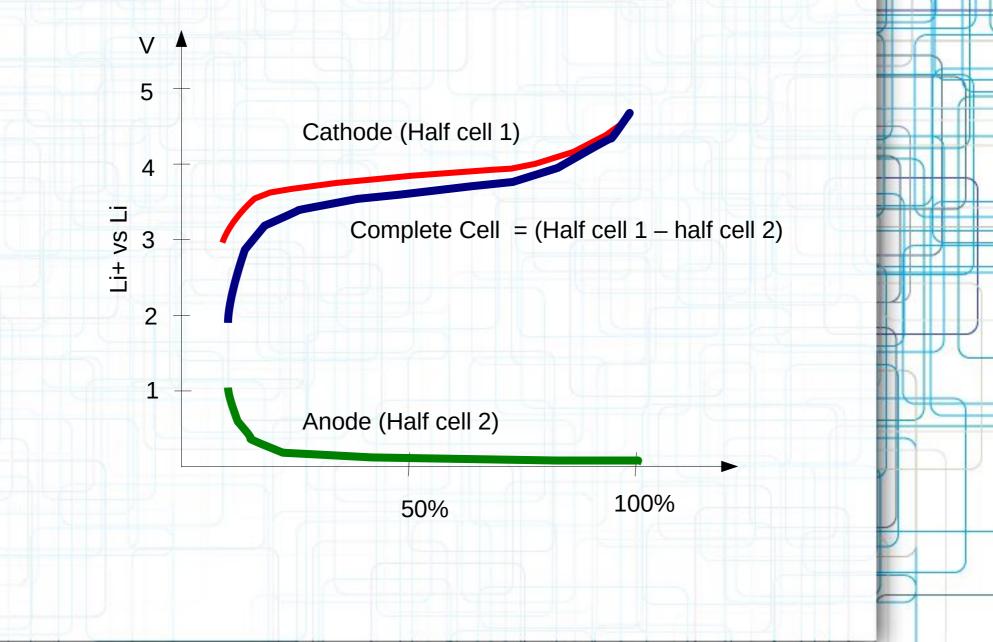
SOC [%]

independent for each side.

Considered as

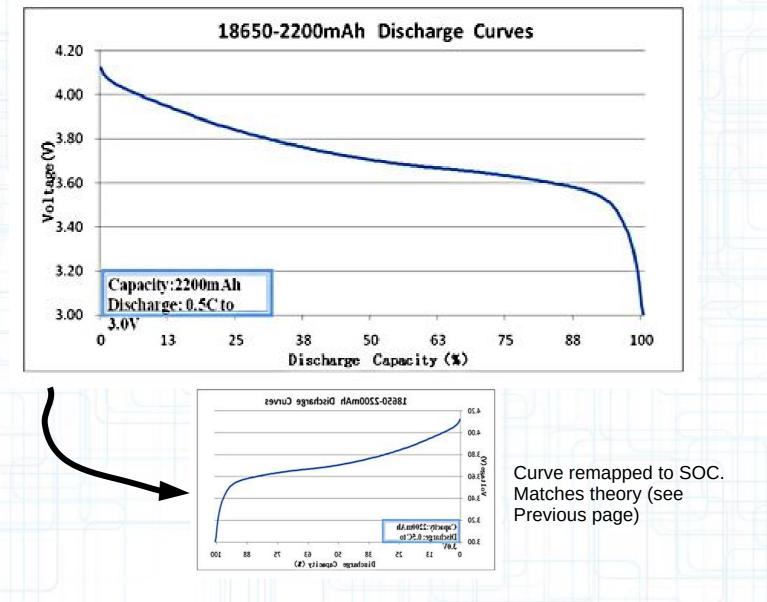
Half cell 1 Half cell 2

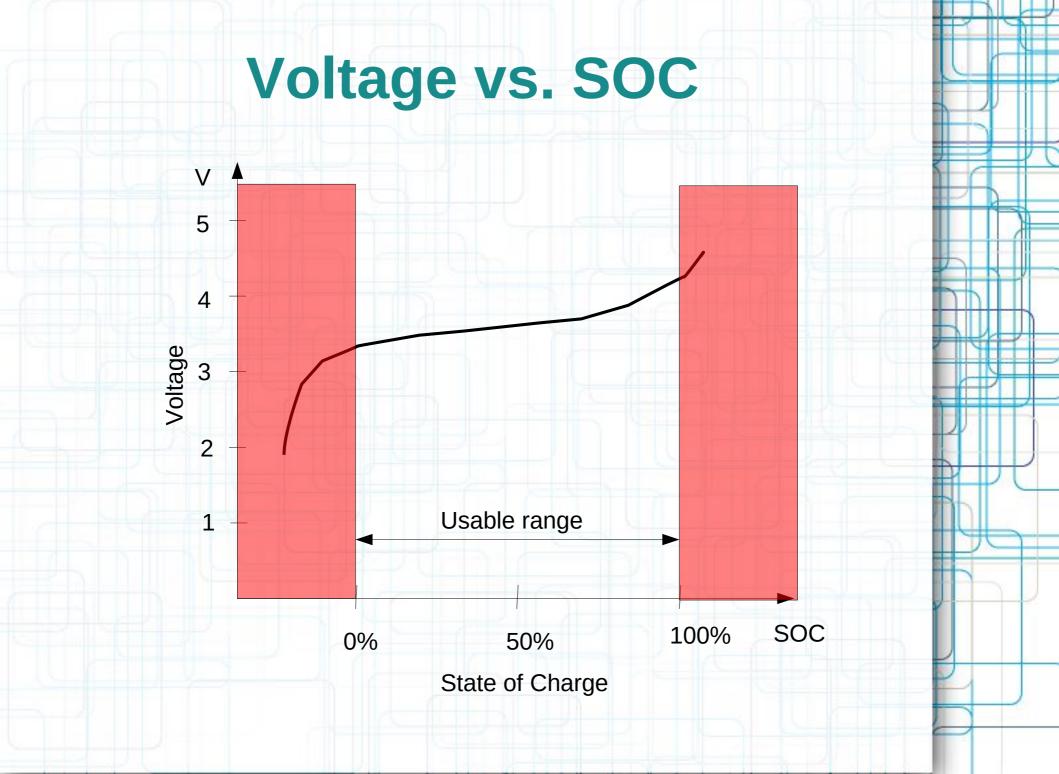
Cell voltage source

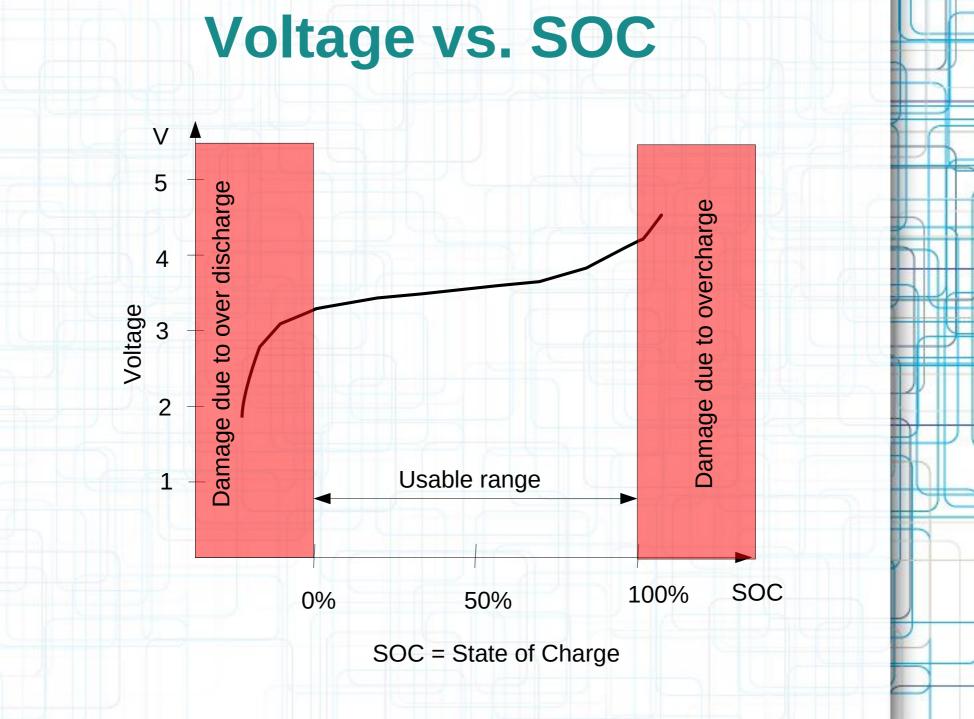


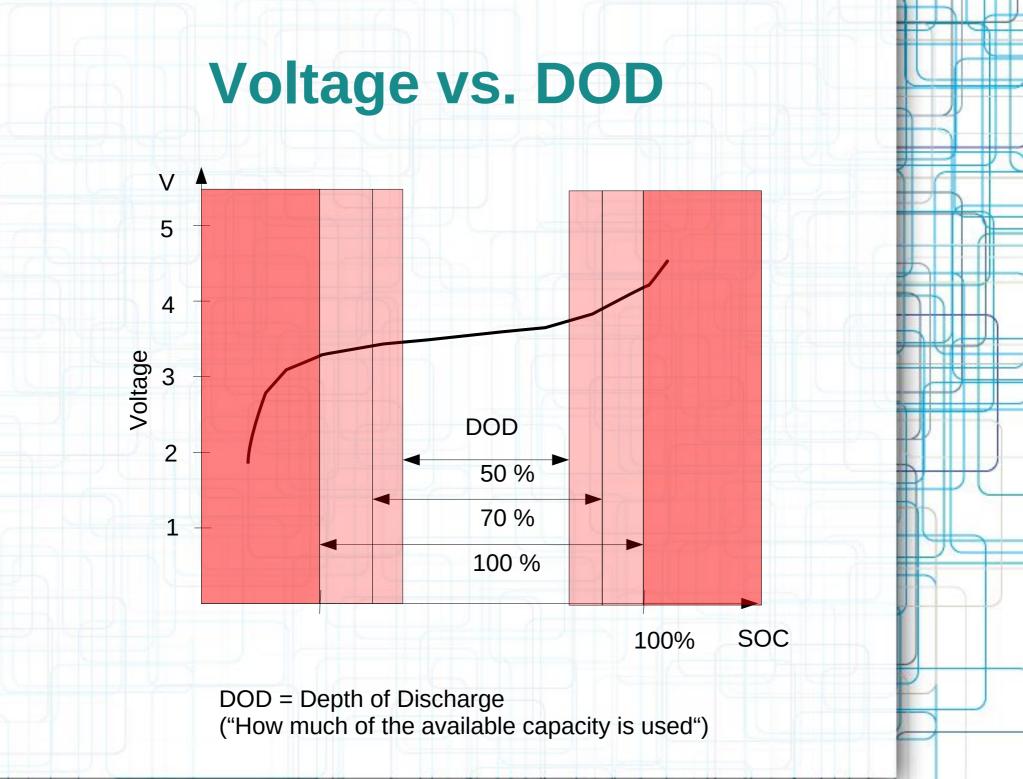
Cell voltage - Measured

Voltage in unloaded condition gives direct measure of the state of charge (SOC)

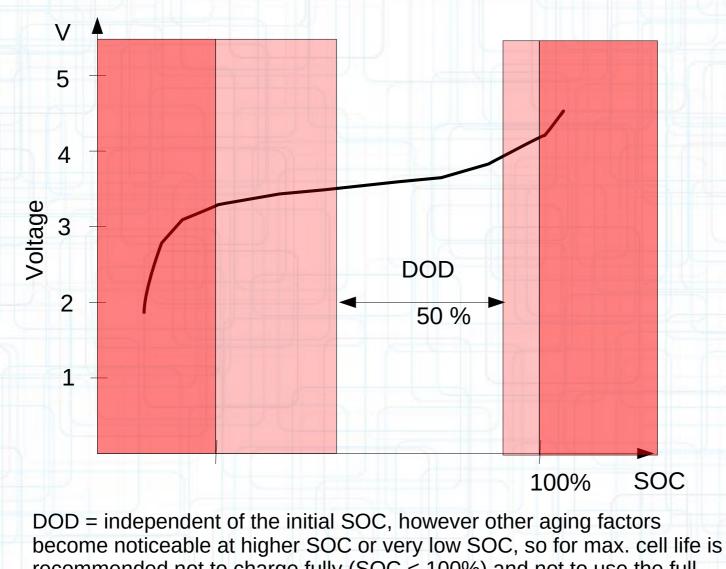








Voltage vs. DOD



recommended not to charge fully (SOC < 100%) and not to use the full available capacity and not to drain the cell completely, see next slide.

Ageing - Cycles

Depth of discharge	Discharge cycles	Table 2: Cycle life as a function of				
100% DoD	300 – 500	depth of discharge				
50% DoD	1,200 — 1,500	A partial discharge reduces stress and prolongs battery life. Elevated				
25% DoD	2,000 — 2,500	temperature and high currents also				
10% DoD	3,750 — 4,700	affect cycle life.				

Source: Batteryuniversity.com

Maximum use of capacity \rightarrow Minimal Lifetime

Above numbers are defined for low current (~ 1C) discharge. Higher discharge currents means less cycle life, especially at low temp.

Maximal Current -> Minimal life time

Ageing – Storage

	÷
0°C 98% 94%	
25°C 96% 80%	
40°C 85% 65%	
60°C 75% 60% (after 3 month	s)

Table 3: Estimated recoverable capacity when storing Li-ion for one year at various temperatures

Elevated temperature hastens permanent capacity loss. Not all Li-ion systems behave the same.

Source: Batteryuniversity.com

Storage ageing is dependent on

- Storage voltage (higher, the greater the ageing)
- Storage temperature (higher, the greater the ageing)

Ageing

The full ageing process is a combination of

Cycle ageing:

Damage due to charge/discharge cycles

Storage ageing:

Damage due to storage

Ageing = Ageing^{Cycle} + Ageing^{Storage}

Ageing

- Effects of ageing
- Capacity (lower)
- Resistance (higher)
- Discharge current ability (lower)
- Charge current ability (lowers)

Ageing does not happen linearly, but accelerates later in cell life (See cell chemistry section for details).

Temperature effects

Cell performance is highly affected by temperature.

Performance numbers of a cell are valid only for temperatures at or higher than 30 °C. C-Rates drop dramatically below 20 °C both for discharge and especially charge rates.

At 0 °C the maximum allowed charge rate typically drops to 0.1C, discharge rates to 5C even for high performance cells rated at 30C+ nominally.

→ Maximum C-Rates drops with temperature. Heat the cell to >30 °C before use.

→ Exceeding max. discharge and charge rates at low temperatures causes permanent damage

Temperature effects

At high temperatures > 55 °C degeneration effects set in and cycle ageing is accelerated.

 \rightarrow Always keep a cell below 55 °C during use.

→ Exceeding max. discharge and charge rates at high temperatures causes permanent damage and shortens cell life.

 \rightarrow Do not store a battery at high ambient (> 25 °C) temperatures for long periods, as storage aging is accelerated too. The higher the storage charge, the more pronounced is this effect.

Physical construction

- "Pouch cell"
- Layered or wound
- In plastic bag
 Filled with electrolyte (gel/liquid)

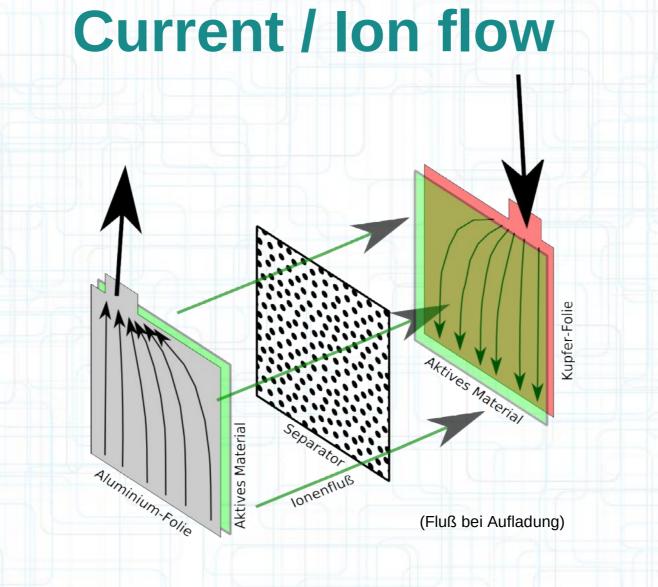
GSP 063450 1000mAh 3.7V 2012.05.04

Source: Wikipedia

Construction

Thin layers, wrapped and stacked for maximum surface area





 \rightarrow The flow of current in the cell is not evenly distributed but concentrated at the connectors.

Heat generation

Heat generation in the cell during charge / discharge comes from two sources:

- Irreversible heat generation

Through normal resistive resistance (Ohms law) and irreversible chemical changes in the cell (damage).

- Reversible heat generation

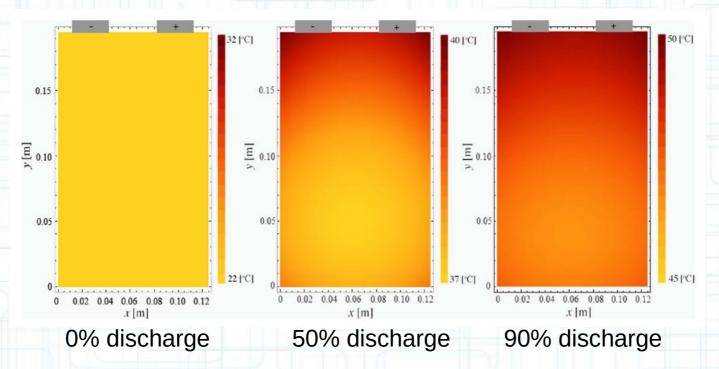
Electrochemical changes in the charge / discharge cycle.

For high current application the irreversible heat generation dominates.

For a long cell cycle life it is important to use the cell within the design specifications. Cycling at too low temperature damages as much as cycling at too high temperature, however the type of damage is different.

Heat generation

The irreversible heat generation is not evenly distributed but more pronounced near the connectors.



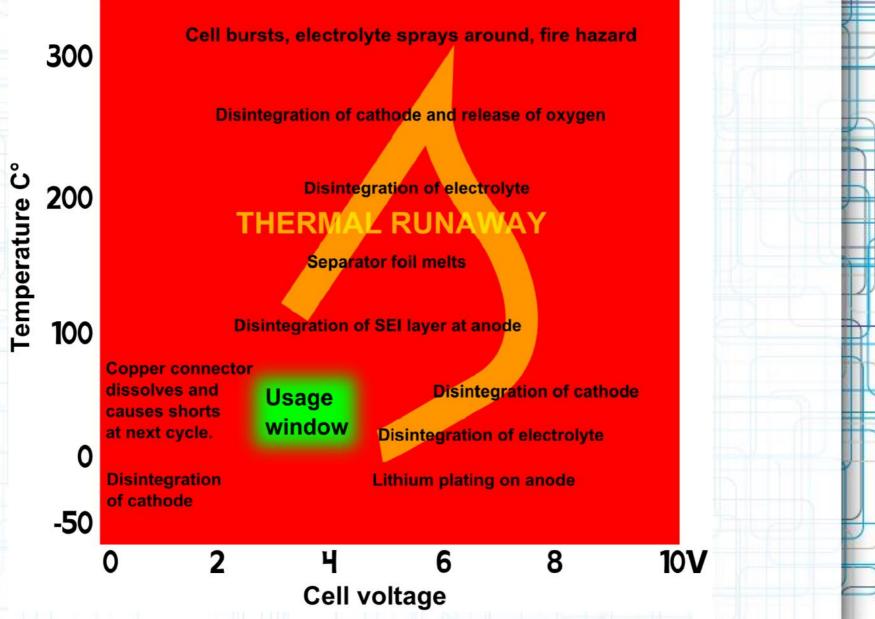
 \rightarrow Cells do not age uniformly, there are areas that are more effected by high current flow.

 \rightarrow Packs of cells (batteries) do not age uniformly, as the inner cells get hotter during high current cycling.

Source: A Distributed Analytical Electro-Thermal Model for Pouch-Type Lithium-Ion Batteries, Maryam Yazdanpour,a, Peyman Taheri,a Abraham Mansouri,b and Majid Bahramia Laboratory for Alternative Energy Conversion (LAEC), Journal of The Electrochemical Society, 161 (14) A1953-A1963 (2014), pg 1962 (Themal measurements of 60A Discharge for a 20Ah Lithium polymer battery)

Window of safe use

"Better stay in the green... dragons outside!"



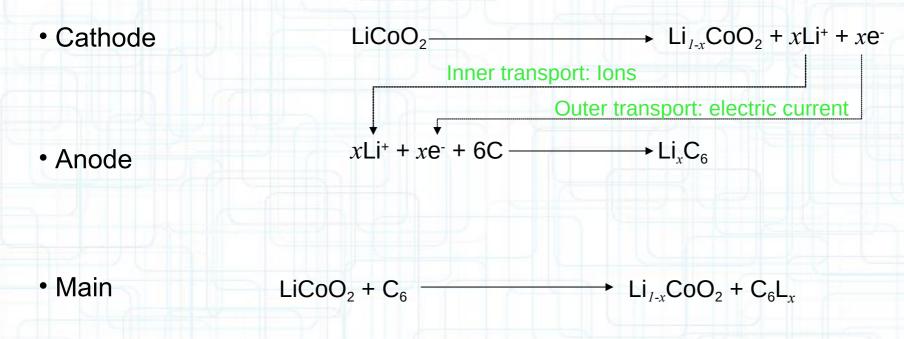
Inspired by: https://www.quora.com/Is-a-lithium-battery-that-dangerous-If-yes-what-should-I-prevent-it-from

Positive electrode (Cathode): Lithiated form of a transition metal (e.g. Lithium-cobalt(III) oxide LiCoO2, lithium-nickel oxide LiNiO2 or Lithium-manganese oxide LiMn2O4) as active material.

Negative electrode (Anode): Carbon (C) as graphite (C6)

Electrolyte: Lithium salts (LiPF6, LiBF4, LiCIO4) and organic solvents (ethylene carbonate, dimethyl carbonate, ...)

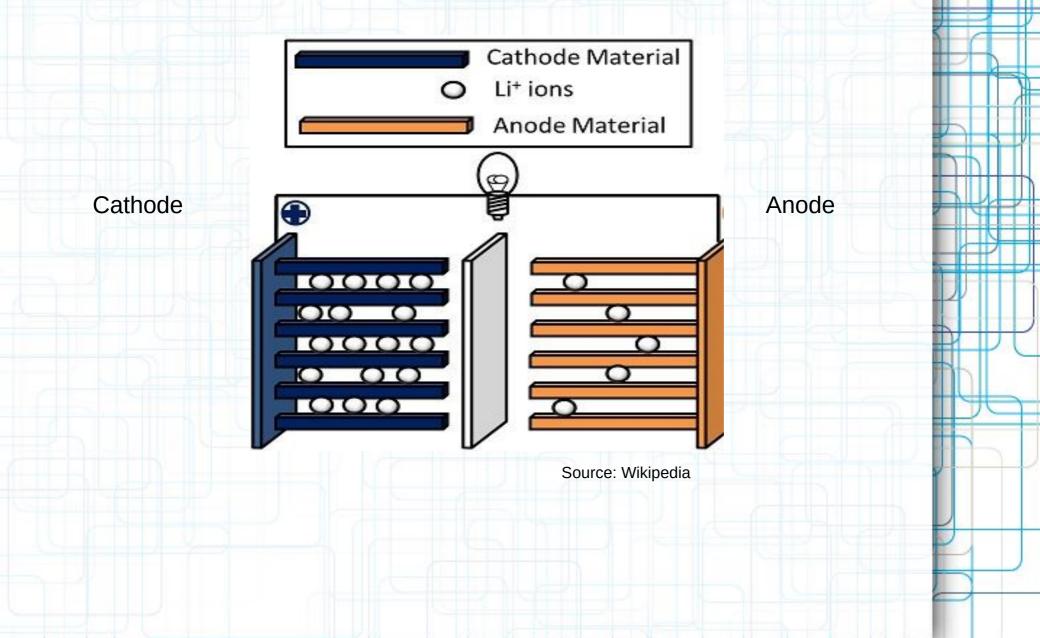
Reaction (Charge)



Cathode is losing lithium during charge, Anode is filled with lithium.

Intercalation

By intercalation (from Latin intercalare = insertion) in the chemical sense is meant the incorporation of molecules, ions (rarely also atoms) in chemical compounds, which do not change their structure during the storage process significantly.



The ability of the cell to provide high current/capacity depends on:

For high currents: Ion transport capacity "How many ions can flow through the cell in a given time?"

→ High current cell (higher weight / mAh)

Limited by:

- → Surface area
- → Interfaces (transitions between layers)
- → Connectors
- → Temperature

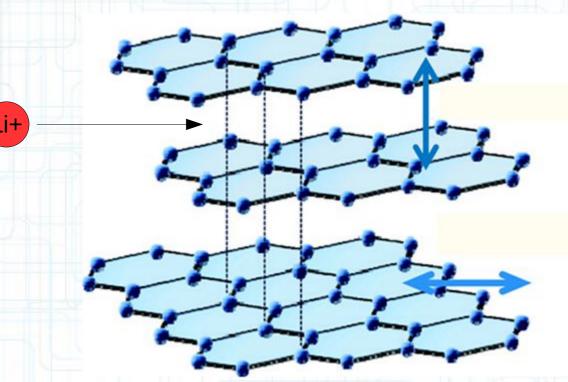
For high capacity: Ion supply and acceptance limits "How many ions can be moved through the cell during a charge / discharge cycle?"

→ High capacity cell (lower weight / mAh)

Requirements are not compatible. Cells tuned for high capacity (e.g. EV cells) cannot provide high currents and vice versa. Cells used for RC models are usually tuned for high currents.

Cell chemistry - Anode

The graphite of the anode consists of layers:



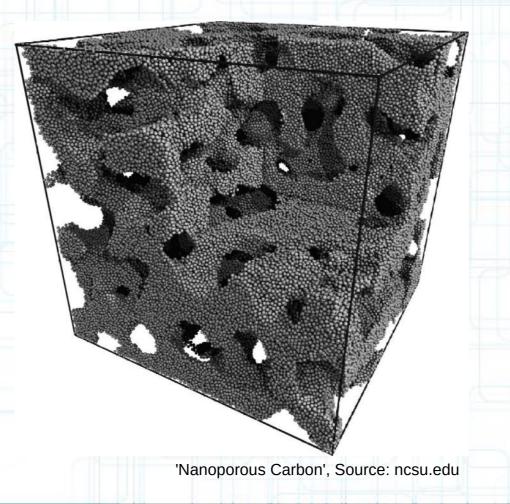
Lithium ions can only enter "from the side".

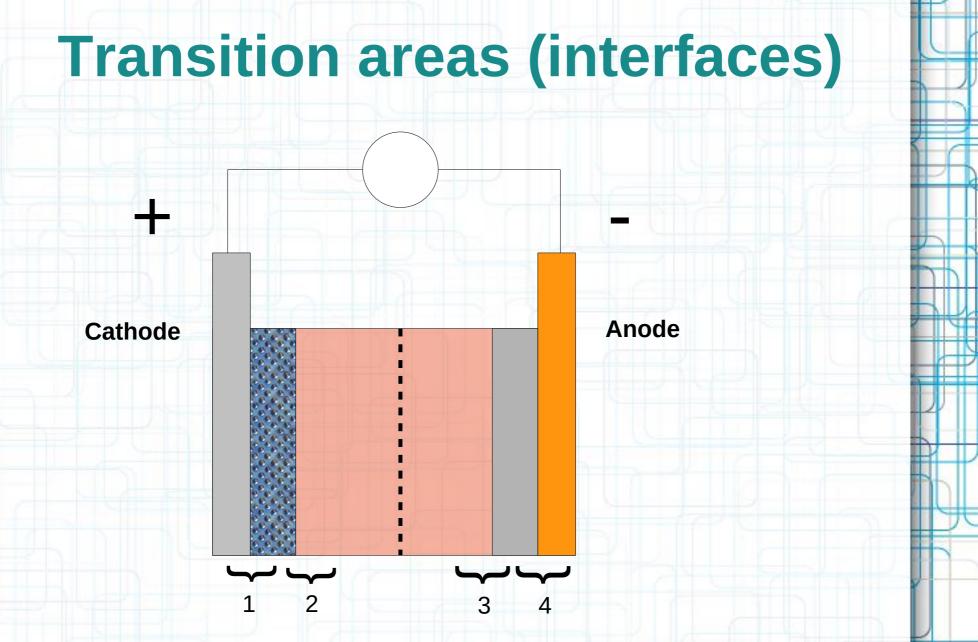
 \rightarrow Charge current is always smaller than Discharge current ("it is easier to pull a key than to insert it into the same lock")

Cell chemistry - Anode

"Nanotechnology":

Graphite layer with micro structure ("nanoporous") \rightarrow larger active Surface.

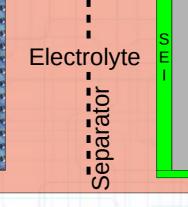




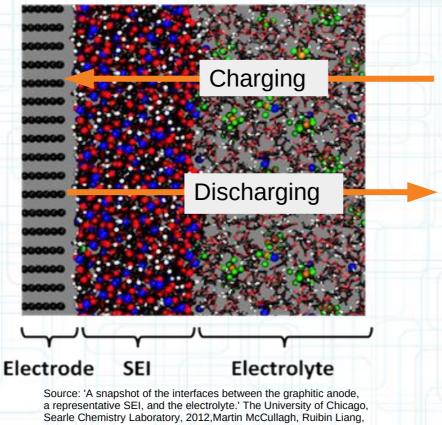
Relevant are zone 2: "Cathode – Electrolyte" and 3: "Electrolyte – Anode", Especially the later is very important for proper cell function.

The anode would be destroyed quite fast by reactions with the electrolyte. The electrolyte is designed therefore to create reaction products that coats the areas where it is in contact with the anode. This zone is called

Solid Electrolyte Interphase (SEI)



The SEI layer is created during manufacturing during the very first cell cycle. Lithium ions have to travel through it during charge and discharge.



Searle Chemistry Laboratory, 2012, Martin McCullagh, Ruibin Liang, https://vothgroup.uchicago.edu/research/renewable-energy-materials (Arrows and text fields added)

SEI layer:

- Protection of the anode and electrolyte

Without SEI the cell would die after a few cycles

But also

- Increases resistance

The thicker the SEI layer the higher the resistance.

- Loss of free lithium

The thicker the SEI layer the less capacity the cell retains.

- Limiting Lithium transport ability

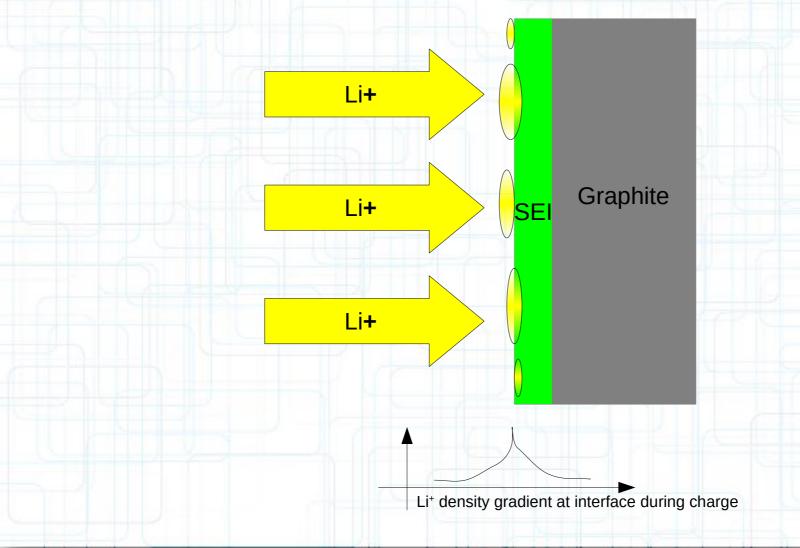
The thicker the SEI layer the more the cell is effected by plating during charge.

The SEI layer is created during manufacturing.

Every cell on the market has at least gone though one charge/discharge cycle. This cycle is crucial to build the SEI layer initially.

The SEI layer thickness increases during the lifetime of the cell. High current load and too high temperatures accelerates the process.

Plating: Deposition of metallic lithium at the interface



Plating occurs when 'more Li+ ions are pressed into the anode' than transport and holding capacity is available.

→ During charge, not discharge

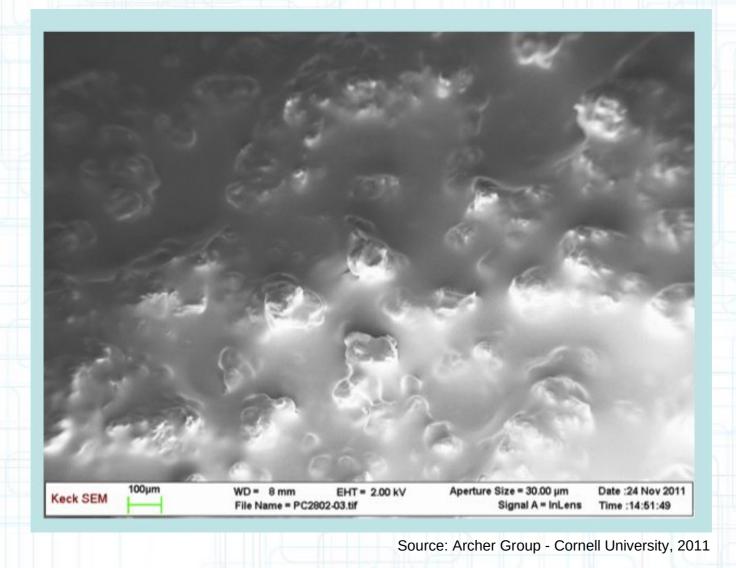
Holding capacity reached:

- Overcharge condition, anode material fully filled up (> 4.2V for normal cells, >4.3/4.4V for HV)

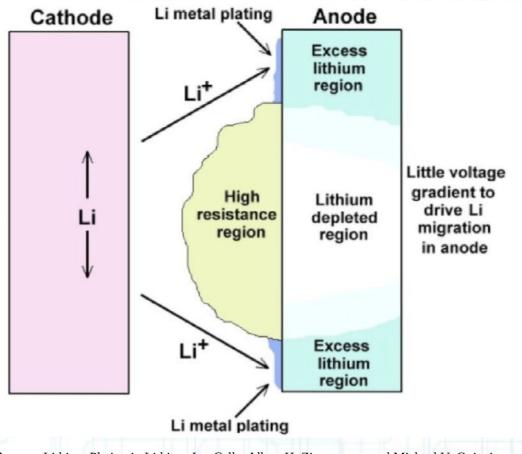
Transport capacity reached:

- Too high charging current
- Depends on temperature (lower temp, higher plating)
- Depends on cell age and SEI layer thickness, older cells are more prone to plating.

Li plating builds up not simple layers but 3d structures (Dendrites):

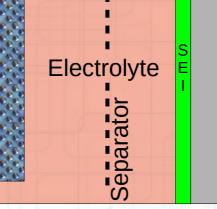


Plating is also caused by imperfections in the cell



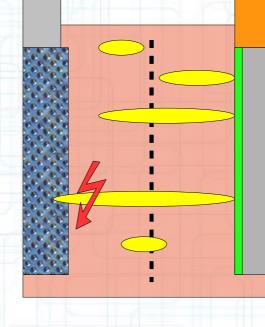
Source: Lithium Plating in Lithium-Ion Cells, Albert H. Zimmerman and Michael V. Quinzio The Aerospace Corporation, Presented at the NASA Battery Workshop 16-18 November 2010, pg. 9

Fun fact: During manufacturing the anode is made a bit larger than the cathode to prevent plating.



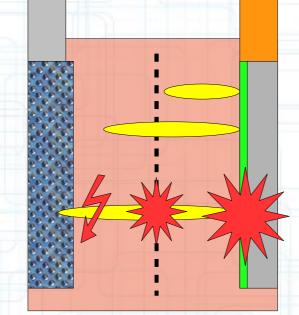
This excess is also called "Overhang".

Plating causes: Loss of Lithium / Build up of Dendrites When the separator is bridged a "Micro Short" appears.



few 10 µm

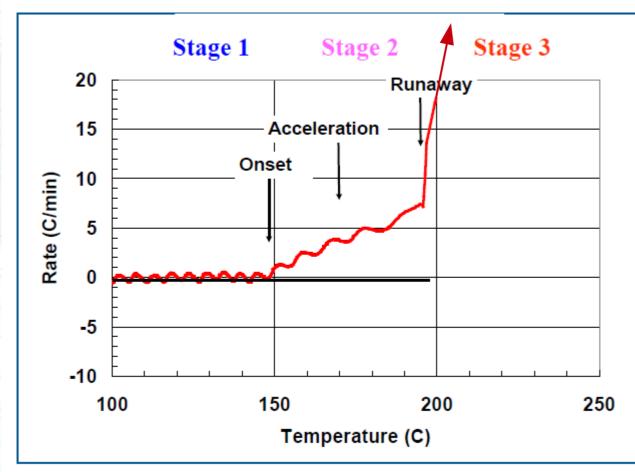
"Micro Shorts" can grow into "Macro Shorts":

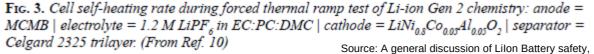


Extreme local temperature increase:

- Separator is damaged
- Local breakdown of SEI layers: generates extra heat!
- These new imperfections in the cell cause more plating

When "self heating rate" reaches +10 °C / Minute then at around 150 C° \rightarrow Thermal Runaway

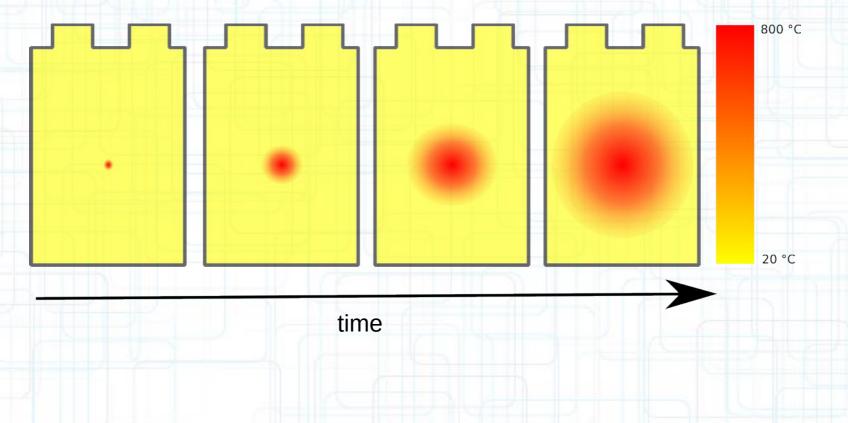




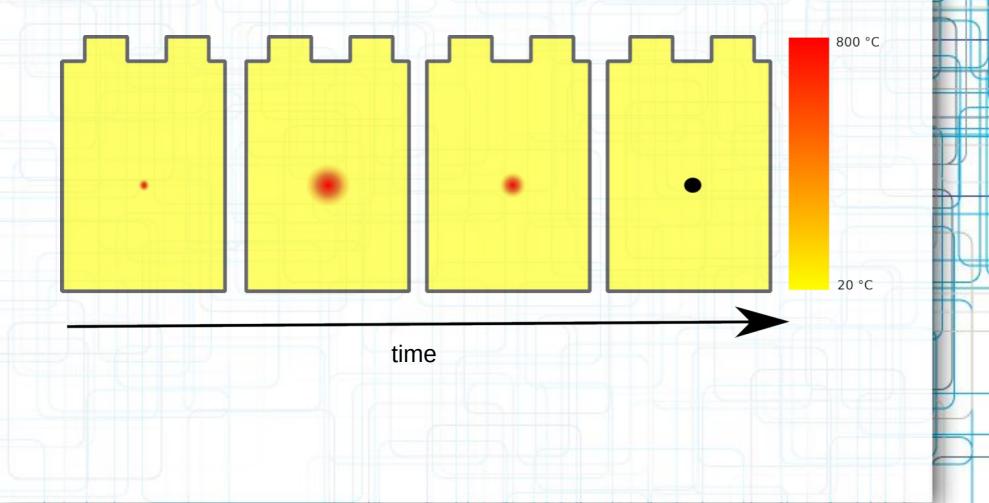
Source: A general discussion of Lilon Battery safety, Dan Doughty, E. Peter Roth, The electrochemical society Interface 2012. pg 39 (Red Arrow inserted)

Runaway starts at temperatures exceeding 150 °C. The heat generation until 'Onset' is driven by resistance heating due to the current through the "Short", at temperatures higher than 250 °C significant exothermal decay processes begins.

If the runaway temperature is reached locally and the effected area is large enough, it spreads fast throughout the cell.



If the heat generation is not sufficient, only a new imperfection occurs. This self limiting happens due to the separator expanding at higher temperatures and thus limiting the process. As long as the separator holds and does not melt the short is limited this way. This new imperfection can however cause more plating later.



The temperature rise until 'onset' can also be slow (minutes to hours). As soon as it is reached, the heat generation is rapidly accelerating.

Heating up to onset draws its energy from the charge of the cell. In a cool storage environment a higher heat development is necessary to reach onset. This also increases the necessary size of the triggering 'shorts'. Bigger 'shorts' are more rare and at a certain size only caused by mechanical damage.

From the outside, these processes in the cell are not easy to recognize.

A slightly larger self discharge rate can indicate such imperfections in the cell, but with larger cell capacity this signal is getting harder to detect. In such cells only elaborate test runs by repeated low current cycling well into the low cell voltage range (<3.3V) and measuring current "noise" can be used to detect them.

→ Micro-shorts can not be ruled out easily, so safe usage patterns must be implemented to minimize the chance of triggering runaways.

- → To reach runaway onset the cell must be charged above a certain level. The stored charge must be sufficient to heat the cell locally to onset temperature.
- \rightarrow High ambient temperature favours runaway.

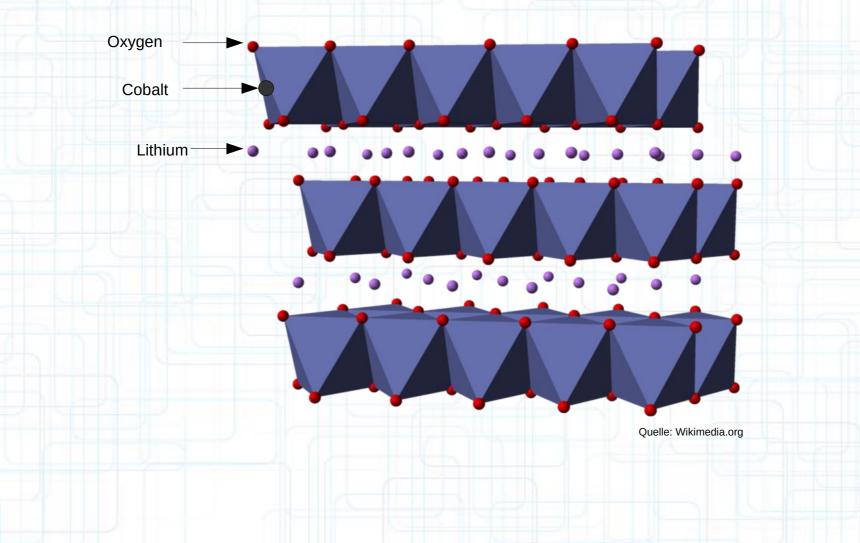
→ Store cool (< 20°C) and "empty" (discharged at 3.7-3.75V/cell)!

Factors that favours plating:

- High charge current
- Low temperatures (during charge)
- Old/Mistreated Cells (thick SEI layer)
- Initial imperfections (manufacturing faults)
- Excess discharge current (explanation see Cathode discussion)

 \rightarrow Never charge cold cells at high C-rates!

Cathode usually consists of Lithium metal oxides, e.g. LiCoO₂



- During charge the cathode provides Li+ ions
- During discharge the cathode accepts Li+ ions
- As with the anode there exists an interface to the electrolyte.
- But at this interface no SEI layer is growing, instead reaction products migrate into the electrolyte.

Cathode is under mechanical stress during discharge. The higher the current, the higher the stress.

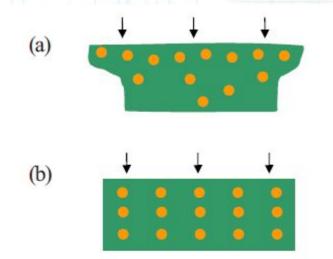
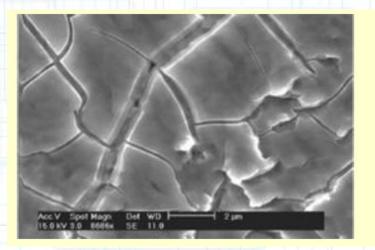
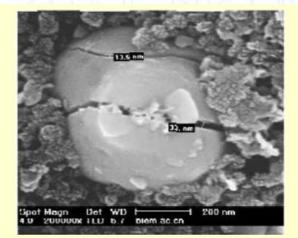


FIG. 2. (Color online) (a) When the rate of discharging is high, the distribution of lithium in the active particle is inhomogeneous, which causes a field of stress in the particle. (b) When the rate of discharging is low, the distribution of lithium in the particle is negligible. The arrows indicate the direction of lithium insertion.

Source: JOURNAL OF APPLIED PHYSICS 108, 073517 2010 Kejie Zhao, Matt Pharr, Joost J. Vlassak, and Zhigang Suo School of Engineering and Applied Sciences and Kavli Institute, Harvard University, Cambridge, Massachusetts 02138, USA, pg 2

Too high discharge rates, especially at low temperatures causes cracks and breaks in the cathode material.



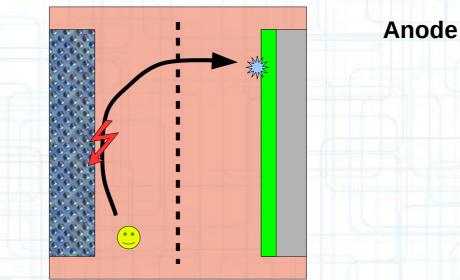


Source: Stress and Deformation Caused by Insertion in Li-ion Batteries, Department of Physics, Harvard University, Cambridge, MA 02138, USA

→ Never draw high current from cold cells !

Too high temperatures - even locally – and/or cell voltage above (>4.1V) can cause disintegration (mainly through oxidation) of the electrolyte at the cathode.

Cathode



The reaction products migrate through the cell to the SEI layer and increase its thickness \rightarrow causing higher resistance + loss of lithium (and therefore loss of capacity).

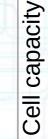
These reactions also generate gases (mostly CO_2), the main reason for irreversible "puffing" through overload / storage fully charged (especially at high ambient temperatures).

Cell capacity

Cycles

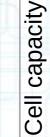
The migration of debris/oxidation products to the SEI layer is also the main reason for the non-linear ageing of cells.

(Capacity loss and increase of resistance are connected)



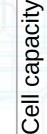
Cycles

For young cells the effect is minimal, SEI surface more than enough



Cycles

More cell cycles, the effect starts to become noticeable. But cell capacity is still sufficient.



Cycles

Even more cycles, the beginning of of accelerated cycle ageing Resistance increased noticeable, the cells current delivery ability degenerates. Capacity loss gets quite noticeable.

Cell capacity

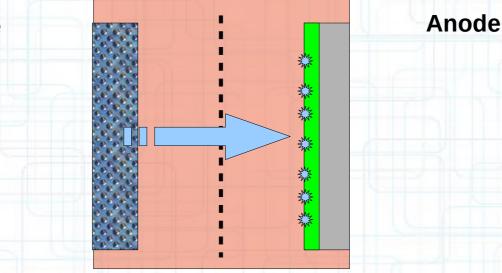
Cycles

Plating gets problematic during charge, Lithium loss gets high, Cell loses lots of capacity. Puffing noticeable. High resistance.

→ Never overload / overcharge cells, especially older (high cycle, long storage) cells. Decrease charge current for older cells below 1C.

Too high temperatures also cause release of metals at the cathode.

Cathode

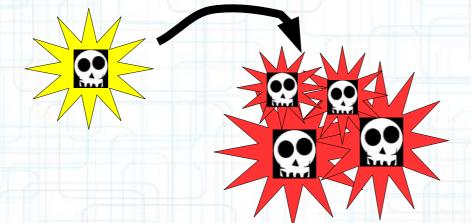


→ Also cause SEI layers degeneration ("poisoning")

Problem is especially prevalent with $LiFePO_4$ cells \rightarrow Release of iron at temperatures > 45 °C.

→ Do not store cells at high ambient temperatures!

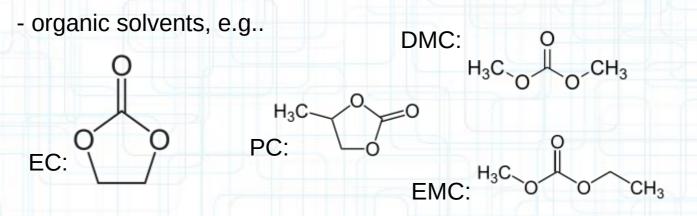
"Damage memory"



Damage in the cell due to misuse accumulates over time!

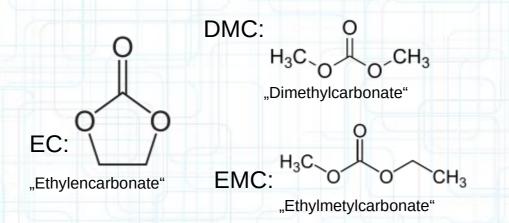
A cell does not forget mistreatment!

- Liquid or gel
- Three main constituents:
 - "active salt" (LiPo): LiPF₆ F Li⁺ F^{///}F



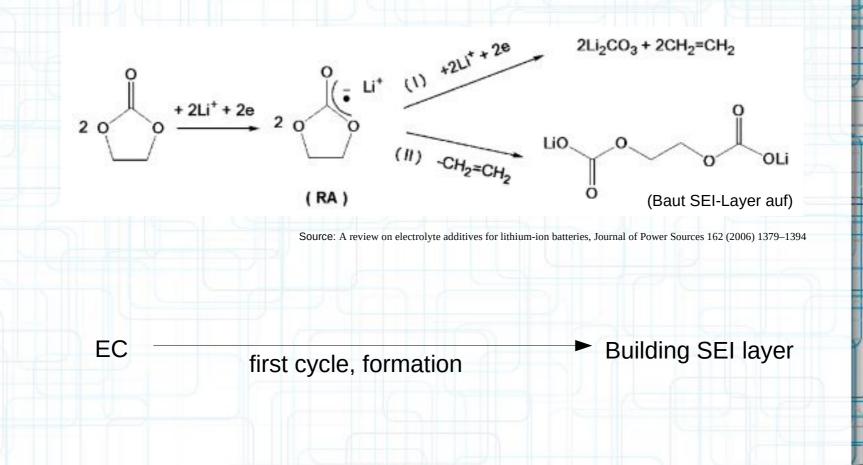
- additive, e.g. Vinylene carbonate

The mixing ratio of the organic solvents defines the viscosity of the electrolyte.



Simplified: The higher the EC ratio, the higher the viscosity.

Ethylencarbonat (EC) is always part of the mixture as it is needed to build up the SEI layer during initial charge.

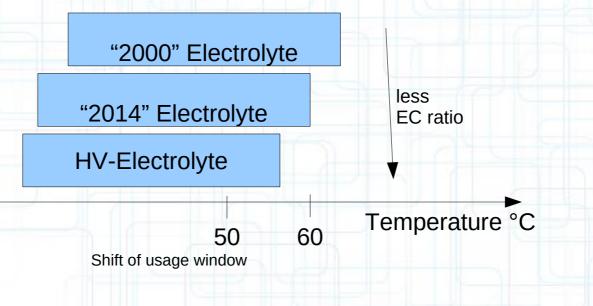


Mixing ratio defines temperature- and also voltage stability.

EC/DMC 1:1 electrolyte gets unstable at around 4.25V.

In comparison: EC/DMC/Diethylcarbonate (DEC) in ratio of 3:3:4 is stable until 4.5V.

The EC proportion has decreased over the years (~ 50% \rightarrow ~ 25%). HV cells also contain a smaller ratio of EC in the electrolyte and therefore are a bit more negatively effected at higher temps.



Depending on the constituents of the electrolyte and their mixing ratio, the formation of the SEI layer may not have fully concluded After one cycle. It could be beneficial to start using a "new" cell at Lower charge and discharge rates to maximize capacity and minimize resistance.

Electrolyte-Type	Rev.Cap mAh/g (1st Cycle)	Irr.Cap mAh/g (1st Cycle)	Rev.Cap mAh/g (5th Cycle)	in:Cap mAh/g (5th Cycle)
EC+DEC+DMC(1:1:1)	306.5	51.8	310.8	85.9
EC+DEC+DMC+MA (1:1:1:1)	201.5	36.9	236.5	56.9
EC+DEC+DMC+EA (1:1:1:1)	210.4	49.9	214.2	68.5
EC+DEC+DMC+EP (1:1:1:1)	233.4	49.06	340.75	88.30
EC+DEC+DMC+EB(1:1:1:1)	272.0	55.6	309.46	90.86

Reversible and irreversible capacities of graphite electrodes (Li-C cell) in contact with various electrolytes.

> Source: The Role of Electrolyte Upon the SEI Formation Characteristics and Low Temperature Performance of Lithium-Ion Cells with Graphite Anodes, M. C. Smart, B. V. Ratnakumar, S. Greenbaum and S. Surampudi, Jet Propulsion Laboratory; MA = methyl acetat, EA = ethyl acetat, EP = ethyl propionate, EB = ethyl butyrate, PC = propylen carbonate

Cell chemistry - Additives

- Improve initial formation of SEI layer
- Protects cathode/electrolyte (limits oxidation / Li retention)
- LiPF₆ temperature stabilisation
- Overcharge protection ("Redox shuttle")
- Fire retardant

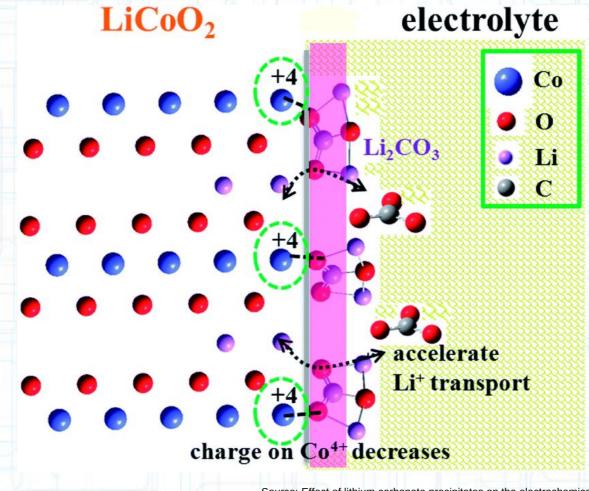
- . . .

- 'Wetting agent' (Manufacture, Wetting of electrodes)
- Corrosion protection

Several additives can be found in most any cell

Cell chemistry - Additives

E.g.: Improved cathode stability though additive Li₂CO₃ for HV cells



Source: Effect of lithium carbonate precipitates on the electrochemical cycling stability of LiCoO2 cathodes at a high voltage, RSC Advances, Issue 20, 2014

Depending on cell voltage:

Too high (> 4.25V):

Cathode:	Anode:	Electrolyte:
Mechanical stress, Too little Lithium, Fracturing	Lithium plating	Degradation

Too low (< 2V):

Cathode:	Anode:	Electrolyte:

Mechanical stress (too much Lithium) <u>Release of oxygen</u> copper connector dissolves → Cu dendrites (causing shorts)

Temperature effects:

Too high (> 60 °C, > 55 °C for newer cell types):

Cathode: Anode: Electrolyte:

Release of oxygen

SEI disintegration E

Disintegration

Increased danger of Thermal Runaway!

Too low (< 15-20 °C, high C-Rate):

Cathode: Anode: Electrolyte:

Stress through too high Li+ flow, Fracturing/Cracks (at discharge) Lithium plating (at charging)

Current effects:

Too high (Discharge):

<u>Cathode:</u>	Anode:	Electrolyte:		
Oxidation of electrolyte (esp. at high Temp.)	Accumulation of disintegration products	Disintegration		
Stress through too high Li+ flow, Fractures / crack (esp. at low Temp.)	S			
Too high (Charge, esp. at low temperatures):				

Cathode:	Anode:	Electrolyte:
	Plating	

"Puffed" cells are caused by two different mechanisms:

"Reversible" puffing:

- happens at high current / temp., vanishes after cooling

- \rightarrow Reason: Solvents in the electrolyte evaporate
- \rightarrow Indicator for over load, but cell can still be used normally.

"Irreversible" puffing:

- does not vanish after cooling.

- \rightarrow Reason: Disintegration of electrolyte releases CO₂ and other gasses.
- → Indicator of a defect in the cell. Capacity loss and higher resistance to be expected.
- → If it happens during over-discharge immediately dispose of the battery, high chance of shorts in the battery due to copper dendrites and likely problems (fire risk) at next charge.

Ruptured cells smells 'sweet' / 'fruity' if electrolyte is released.

Such cells should be disposed of as soon as possible. Use protective gloves (nitrile type, rubber gloves do not work for organic solvents), Electrolyte constituents are toxic, acidic, some are carcinogenic and could do permanent and persistent health damage even through skin. Never inhale electrolyte mist or smoke for fires. Severe lung damage could occure. See a doctor as soon as possible.

Conducting salt ("LiPo") LiPF₆:

R34: Causes burns. R24: Toxic in contact with the skin. R22: Harmful if swallowed.

Conducting salt ("LiFePo4") LiBF₄:

R20 / 21/22: Harmful by inhalation, in contact with and if swallowed and at contact with the skin.

R31: In contact with acid, develops toxic gas.

R34: Causes burns.

R36 / 37/38: Irritating to eyes, respiratory system and skin.

R23 / 24/25: Toxic by inhalation, in contact with skin and if swallowed with the skin.

R11: Highly flammable.

Long cell life tips

- Store cool, dry and "empty" (3.7 – 3.75V / cell) (< 20 °C)

- Before charge and discharge heat the cell up to > 25 $^{\circ}$ C
- Never over-charge (> 4.2V/cell, >4.25-4.35V/cell for HV)
- Never over-discharge (< 3.0V/cell, If it happens, immediately charge to > 3.7V!)
- Working temperature > 25 °C, optimal 30 40 °C, remember "C" ratings are only valid in this temperatur range. "Heating up" a cell at lower temp. by high current flow will cause damage to the cell and shortens lifetime.
- Only keep at working temperature during use, as short a time as possible
- Do not use at too high temperatures (keep < 55 °C, never exceed 60 °C)

Even longer life:

- Do not charge until 4.2V (cycle lifetime doubles at 4.1V top voltage)
- Never use full capacity, shallow cycles (cycle lifetime doubles at <70% DOD)
- Keep current load lower than nomimal C rating, never charge at rates higher than 1 C (esp. at low temperatures).

Temperature °C to F

This presentation uses °C (Celsius) for temperatures. In Fahrenheit the relevant values are:

0 °C	32 F
20 °C	68 F
25 °C	77 F
30 °C	86 F
55 °C	131 F
60 °C	140 F
150 °C	302 F



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